

Form PTO-1390  
P21932.P06

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

P21932

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED ELECTED OFFICE (DO EO US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10 030,133

INTERNATIONAL APPLICATION NO.

PCT EP00 07076

INTERNATIONAL FILING DATE

24 July 2000

PRIORITY DATE CLAIMED

26 July 1999

TITLE OF INVENTION

X-RAY ANODE AND PROCESS FOR ITS MANUFACTURE

APPLICANT(S) FOR DO EO US

Matthias FRYDA, Lothar SCHAEFFER, and Thorsten MATTHEE

Applicant herewith submits to the United States Designated Elected Office (DO EO US) the following items and other information.

1. ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  
"Executed"
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)).

Items 11 to 16 below concern other document(s) or information included:

11. Assignee: FRAUNHOFER-GESELLSCHAFT ZUR FÖRDERUNG DER ANGEWANDTEN FORSCHUNG e.V. of München, GERMANY
12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
13. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☐ A FIRST preliminary amendment.  
☒ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ Figure of Drawing to be published
18. ☒ Other items or information:  
Cover Letter.  
Modified Sheet (3 pages).

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10 030,133		INTERNATIONAL APPLICATION NO. PCT EP00 07076		ATTORNEY'S DOCKET NUMBER P21932	
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19. <input checked="" type="checkbox"/> The following fees are submitted:  <div style="margin-left: 20px;">           Basic National Fee (37 CFR 1.492(a)(1)-(5)):             Search report has been prepared by the EPO or JPO. .... \$ 890.00            International preliminary examination fee paid to USPTO (37 CFR 1.482). .... \$ 710.00            No international preliminary examination fee paid to USPTO (37 CFR 1.482) but            international search fee paid to USPTO (37 CFR 1.445(a)(2)). .... \$ 740.00            Neither international preliminary examination fee (37 CFR 1.482) nor            international search fee (37 CFR 1.445(a)(2)) paid to USPTO. .... \$1,040.00            International preliminary examination fee paid to USPTO (37 CFR 1.482) and all            claims satisfied provisions of PCT Article 33(2)-(4). .... \$ 100.00   <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div> </div>				CALCULATIONS		PTO USE ONLY	

Surcharge of \$130.00 for furnishing the oath or declaration later than <u>  20  </u> <u>  30  </u> months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 0.00	
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Claims	Number Filed	Number Extra	RATE		
Total Claims	16 - 20 =	0	X \$18.00	\$ 0.00	
Independent Claims	1 - 3 =	0	X \$84.00	\$ 0.00	
Multiple dependent claim(s) (if applicable)			- \$280.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 0.00	
<u>  </u> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by $\frac{1}{2}$ .				\$ 0.00	
SUBTOTAL =				\$ 0.00	
Processing fee of \$130.00 for furnishing the English translation later than <u>  20  </u> <input checked="" type="checkbox"/> <u>  30  </u> months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$130.00
Extension of Time fee in the amount of \$				\$	0.00
TOTAL NATIONAL FEE =				\$130.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$ 0.00
TOTAL FEES ENCLOSED =				\$130.00	

2002 MALI11 00000030 10030133 156 130.00 DP		Amount to be refunded	\$
		Charged	\$

a. ☒ A check in the amount of \$130.00 to cover the above fees is enclosed.  
 b.    Please charge my Deposit Account No.        in the amount of \$       to cover the above fees.  
 c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0089.  
  
 NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  
  
 SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055  
 AT THE PRESENT ADDRESS OF:  
 Scott Greenblum

\_\_\_\_\_  
 SIGNATURE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Matthias FRYDA et al.

Serial No : 10/030,133  
(National Stage of PCT/EP00/07076)

I.A. Filed : July 24, 2000

For : X-RAY ANODE AND PROCESS FOR ITS MANUFACTURE

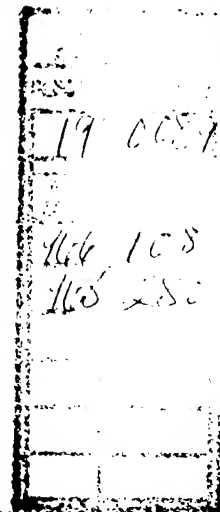
COVER LETTER

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

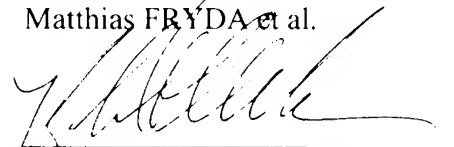
In order to comply with the requirements for the submission of an English translation in the above-identified application, and prior to receipt of the Notification which should set a time limit for submission of materials, and further to the filing of the above-identified application and the filing fee on January 25, 2002. Applicant hereby submits:

- Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371;
- an English translation of the International application as originally filed (including 8 pages of specification and 1 sheets of drawings);
- a Preliminary Amendment and Cover Letter Submitting Amended Pages of Application, with 3 pages of Modified Sheet; and
- a check in the amount of \$130.00 as payment for the Non-English specification fee.



Should the Examiner have any questions, he is invited to contact the undersigned at the below listed number.

Respectfully submitted,  
Matthias FRYDA et al.

  
\_\_\_\_\_  
Neil F. Greenblum  
Reg. No. 28,394 *28,394*

March 19, 2002  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke  
Reston, VA 20191  
(703) 716-1191